

**RKSVM SECURITIES INDIA PVT. LTD.**

Registered office : 807 - New Delhi House, Barakhamba Road, New Delhi 110001.
Corporate Office : Sunshine Tower, 30th Floor, Senapati Bapat Marg, Dadar - West, Mumbai 400 013
Tel No.: 61309999 Fax No.: (91)-(22) 67107492 Email : contact@rksv.in Website: www.rksv.in
Investor Grievance - COMPLAINTS@RKSVM.IN

Digitally signed by automated.bo@upstox.com
Date: 2020.11.20 22:43:23 +05:30
Reason: Regulatory
Location: Mumbai

Compliance Officer : MR. HIREN THAKKAR
Email Id: COMPLIANCE@RKSVM.IN , Tel No.: 022-61309999

| BSE-EQ | BSE-CUR | NSE-EQ | NSE-F&O | NSE-CUR |
|--|--|---|---|---|
| SEBI REGN. NO.: INZ000185137 CLG. NO.: 6155 | SEBI REGN. NO.: INZ000185137 CLG. NO.: 6155 | SEBI REGN. NO.: INZ000185137 CLG. NO.: 13942 | SEBI REGN. NO.: INZ000185137 CLG. NO.: 13942 | SEBI REGN. NO.: INZ000185137 CLG. NO.: 13942 |

Contract Note No. : 15213338

Trade Date : 20/11/2020

Trading Code : FU1987

SOURAV DAS

| | | | |
|---|--|----------|---|
| SOURAV DAS 52, ASHIRWAD BUNGLOWS PIPLD OPPVIJAYSALAS SURAT | | Resident | NSE-EQ |
| SURAT 395007 , GUJARAT - State Code (24) PAN OF CLIENT : AGRPD4429P GST Identification No : UCC OF CLIENT : FU1987 | | | SETTLEMENT NO. SETTLEMENT DATE CLEARING HOUSE DELIVERY SETTLEMENT NO GST TAX INVOICE NO GST TAX INVOICE DATE |
| | | | NORMAL/2020225 24/11/2020 NCL NORMAL/2020225 NSE / 15213338 20/11/2020 |
| Trading Back-office Code (if different from UCC) | | NSE-EQ | |
| | | FU1987 | |

Sir/ Madam,
I/We have this day done by your order and on your account the following transactions :

| Order No. | Order Time | Trade No. | Trade Time | Security / Contract Description | Buy Sell (B/S) | Quantity | Gross Rate /Trade Price per Unit (Rs.) | Brokerage per Unit (Rs.) | Net Rate per Unit (Rs.) | Closing Rate per Unit (only for Derivatives) (Rs.) | Net Total (Before Levies) (Rs.) | Remarks |
|------------------|------------|-----------|------------|---|----------------|----------|--|--------------------------|-------------------------|--|---|------------------|
| EQ-CASH | | | | | | | | | | | | |
| 1000000010232582 | 11:49:12 | 2362051 | 11:49:12 | ASHOK LEYL [INE208A01029] * NET BUY DELIVERY * | B | 12 | 89.5000 | 0.0000 | 89.5000 | | 1,074.00 | NSE |
| 1000000009883707 | 11:40:42 | 2288502 | 11:40:42 | AXIS BANK [INE238A01034] * NET BUY DELIVERY * | B | 5 | 595.8000 | 0.0000 | 595.8000 | | 2,979.00 | NSE |
| 1000000010439567 | 11:54:26 | 2406603 | 11:54:26 | BALLARPUR [INE294A01037] * NET BUY DELIVERY * | B | 150 | 1.2000 | 0.0000 | 1.2000 | | 180.00 | NSE |
| 1100000008110753 | 11:36:40 | 27355414 | 11:36:40 | ESCORTS LT [INE042A01014] * NET BUY DELIVERY * | B | 12 | 1,378.0500 | 0.0000 | 1,378.0500 | | 16,536.60 | NSE |
| 1100000008274487 | 11:42:20 | 27407397 | 11:42:20 | INDIAN HOT [INE053A01029] * NET BUY DELIVERY * | B | 20 | 114.9500 | 0.0000 | 114.9500 | | 2,299.00 | NSE |
| 1100000008415920 | 11:47:13 | 27453279 | 11:47:13 | INDIAN OIL [INE242A01010] * NET BUY DELIVERY * | B | 20 | 83.5500 | 0.0000 | 83.5500 | | 1,671.00 | NSE |
| 0 | | 0 | | Brokerage * SQUP SUMMARY * | B | 1 | 0.0000 | 0.0100 | 0.0100 | | 0.01 | |
| | | | | | | | | | | | (+) PAY IN/ (-) PAY OUT OBLIGATION | 24,739.61 |

| | EQ-CASH | Total |
|---------------------------------|-----------|-----------|
| PAY IN / (PAY OUT) OBLIGATION | 24,739.61 | 24,739.61 |
| [IGST 18% On Charges] | 0.14 | 0.14 |
| [STT-DEL.] | 24.74 | 24.74 |
| [STT-RND] | 0.26 | 0.26 |
| [STAMP DUTY] | 4.00 | 4.00 |
| [TURNOVER CHG*] | 0.80 | 0.80 |
| [SEBI FEES] | 0.01 | 0.01 |

DIRECTORS / AUTHORISED SIGNATORY :

RAVI KUMAR / AMIT LALAN / KAPIL KOTHARI



Compliance Officer : MR. HIREN THAKKAR
Email Id: COMPLIANCE@RKSVM.IN , **Tel No.:** 022-61309999

CONTRACT NOTE CUM TAX INVOICE
Tax Invoice Under Section 31 of GST Act (Original for recipient/ Duplicate for supplier)

RKSVM SECURITIES INDIA PVT. LTD.

Registered office : 807 - New Delhi House, Barakhamba Road, New Delhi 110001.
Corporate Office : Sunshine Tower, 30th Floor, Senapati Bapat Marg, Dadar - West, Mumbai 400 013
Tel No.: 61309999 **Fax No.:** (91)-(22) 67107492 **Email :** contact@rksvm.in **Website:** www.rksvm.in
Investor Grievance - COMPLAINTS@RKSVM.IN

| BSE-EQ | BSE-CUR | NSE-EQ | NSE-F&O | NSE-CUR |
|--|--|---|---|---|
| SEBI REGN. NO.: INZ000185137 CLG. NO.: 6155 | SEBI REGN. NO.: INZ000185137 CLG. NO.: 6155 | SEBI REGN. NO.: INZ000185137 CLG. NO.: 13942 | SEBI REGN. NO.: INZ000185137 CLG. NO.: 13942 | SEBI REGN. NO.: INZ000185137 CLG. NO.: 13942 |

Contract Note No. : 15213338

Trade Date : 20/11/2020

Trading Code : FU1987

SOURAV DAS

| | | |
|---|-----------|-----------|
| Net Amount (-) receivable by client / (+) payable by Client | 24,769.56 | 24,769.56 |
|---|-----------|-----------|

GST Taxable Value of Supply:- Brokerage: Rs. 0.01 , TURNOVER CHG : Rs. 0.80 = Total: Rs. 0.81

CGST:-Central GST; SGST: - State GST; IGST:-Integrated GST; UTT: - Union Territory Tax. Details of trade-wise levies shall be provided on request.

Transactions mentioned in this contract note cum bill shall be governed and subject to the Rules, Bye-laws, Regulations and Circulars of the respective Exchanges on which trades have been executed and Securities and Exchange Board of India issued from time to time. It shall also be subject to the relevant Acts, Rules, Regulations, Directives, Notifications, Guidelines (including GST Laws) & Circulars issued by SEBI / Government of India / State Governments and Union Territory Governments issued from time to time. The Exchanges provide Complaint Resolution, Arbitration and Appellate arbitration facilities at the Regional Arbitration Centres (RAC). The client may approach its nearest centre, details of which are available on respective Exchange's website. Please visit www.nseindia.com for NSE, www.bseindia.com for BSE and www.msei.in for MSEI.

Place : Mumbai

Date : 20/11/2020

Yours faithfully,
For RKSVM SECURITIES INDIA PVT. LTD.

DIRECTOR/AUTHORISED SIGNATORY
 PAN: AAECR3022N

GSTIN of Trading Member :
27AAECR3022N1ZA
Description of Service : STOCK
BROKER
Accounting code of services :
997152