

DIRECTORS / AUTHORISED SIGNATORY :

RAVI KUMAR / AMIT LALAN / KAPIL KOTHARI



Compliance Officer : Mr. Kapil Jaikalyani
Email Id: compliance@upstox.com
Tel No.: 022-61309999

CONTRACT NOTE CUM TAX INVOICE
 Tax Invoice Under Section 31 of GST Act (Original for recipient/ Duplicate for supplier)

UPSTOX SECURITIES PRIVATE LIMITED

(Formerly EPX Uptech Private Limited)

Dealing Office: 30th Floor, Sunshine Tower,
 Senapati Bapat Marg, Dadar (W), Mumbai 400013
 Tel: 61309999 Website: upstox.com Investor Grievance - complaints@upstox.com
 SEBI REG:INZ000315837 CIN:U65100DL2021PTC376860

Digitally signed by automated.bo@upstox.com
 Date: Fri Apr 05 17:40:21 IST 2024
 Reason: Regulatory
 Location: Mumbai

BSE-EQ	BSE-CUR	NSE-EQ	NSE-F&O	NSE-CUR
SEBI REGN. NO.: INZ000315837 CLG. NO.: 6155	SEBI REGN. NO.: INZ000315837 CLG. NO.: 6155	SEBI REGN. NO.: INZ000315837 CLG. NO.: 13942	SEBI REGN. NO.: INZ000315837 CLG. NO.: 13942	SEBI REGN. NO.: INZ000315837 CLG. NO.: 13942

Contract Note No. : 31712994 Trade Date : 05/04/2024 Trading Code : FU1987 SOURAV DAS

SOURAV DAS NO1485 , PRAKRUTI NIVAS SRIRAM NAGAR , OLD TOWN BHUBANESHWAR URBAN BHUBANESWAR 751002, ORISSA - State Code (21) PAN OF CLIENT : AGRPD4429P GST Identification No : UCC OF CLIENT : FU1987		Resident	SETTLEMENT NO. SETTLEMENT DATE CLEARING HOUSE DELIVERY SETTLEMENT NO GST TAX INVOICE NO GST TAX INVOICE DATE	NSE-EQ NORMAL/2024066 08/04/2024 NCL NORMAL/2024066 NSE / 31712994 05/04/2024
Trading Back-office Code (if different from UCC)		NSE-EQ		
		FU1987		

Sir/ Madam,
 I/We have this day done by your order and on your account the following transactions :

Order No.	Order Time	Trade No.	Trade Time	Security / Contract Description	Buy Sell (B/S)	Quantity	Gross Rate /Trade Price per Unit (Rs.)	Brokerage per Unit (Rs.)	Net Rate per Unit (Rs.)	Closing Rate per Unit (only for Derivatives) (Rs.)	Net Total (Before Levies) (Rs.)	Remarks
EQ-CASH												
110000028492509	12:13:38	25204919	12:13:38	DEV CRBANK [INE503A01015]	S	-57	126.8500	0.0000	126.8500		-7230.45	NSE
110000028492509	12:13:38	25204920	12:13:38	DEV CRBANK [INE503A01015]	S	-75	126.8500	0.0000	126.8500		-9513.75	NSE
110000028492509	12:13:38	25204921	12:13:38	DEV CRBANK [INE503A01015]	S	-18	126.8500	0.0000	126.8500		-2283.30	NSE
110000030328938	12:30:57	25496854	12:30:57	DEV CRBANK [INE503A01015]	B	1	127.0000	0.0000	127.0000		127.00	NSE
* SUMMARY *						-149					-18900.50	
130000021693367	11:55:45	63924346	11:55:45	UTKARSH SMALL FINANCE BANK LIM [INE735W01017]	B	36	53.0500	0.0000	53.0500		1909.80	NSE
130000023005810	12:10:17	64145408	12:10:17	UTKARSH SMALL FINANCE BANK LIM [INE735W01017]	B	75	53.2000	0.0000	53.2000		3990.00	NSE
130000023469813	12:14:58	64216351	12:14:58	UTKARSH SMALL FINANCE BANK LIM [INE735W01017]	B	46	53.1000	0.0000	53.1000		2442.60	NSE
130000023469813	12:14:58	64216352	12:14:58	UTKARSH SMALL FINANCE BANK LIM [INE735W01017]	B	234	53.1000	0.0000	53.1000		12425.40	NSE
130000024594264	12:28:11	64407888	12:28:11	UTKARSH SMALL FINANCE BANK LIM [INE735W01017]	B	92	53.1500	0.0000	53.1500		4889.80	NSE
* SUMMARY *						483					25657.60	
110000030328938		0		Brokerage Charges	B	1	0.0000	0.0600	0.0600		0.06	

Order No.	Order Time	Trade No.	Trade Time	Security / Contract Description	Buy Sell (B /S)	Quantity	Gross Rate /Trade Price per Unit (Rs.)	Brokerage per Unit (Rs.)	Net Rate per Unit (Rs.)	Closing Rate per Unit (only for Derivatives) (Rs.)	Net Total (Before Levies) (Rs.)	Remarks
				* SUMMARY *		1					0.06	
(+) PAY IN/ (-) PAY OUT OBLIGATION											6757.16	

	EQ-CASH	Total
PAY IN / (PAY OUT) OBLIGATION	6757.16	6757.16
[IGST 18% On Brokerage]	0.01	0.01
[IGST 18% On Charges]	3.60	3.60
[STT-SQUP]	0.03	0.03
[STT-DEL.]	44.56	44.56
[STT-RND]	0.41	0.41
[STAMP DUTY]	4.00	4.00
[TURNOVER CHG*]	1.44	1.44
[IPFT CHG]	0.04	0.04
[SEBI FEES*]	0.04	0.04
[DEMAT TRAN CHG*]	18.50	18.50
Net Amount (-) receivable by client / (+) payable by Client	6829.79	6829.79

GST Taxable Value of Supply:- Brokerage: Rs. 0.06 , [SEBI FEES*] :Rs. 0.04 , [TURNOVER CHG*] :Rs. 1.44 , [DEMAT TRAN CHG*] :Rs. 18.50 = Total: Rs. 20.04

CGST:-Central GST; SGST: - State GST; IGST:-Integrated GST; UTT: - Union Territory Tax. Details of trade-wise levies shall be provided on request.

Yours faithfully,

For UPSTOX SECURITIES PRIVATE LIMITED

Disclaimer: The purchase of REs only gives them the right to participate in the ongoing Rights Issue of the concerned company by making an application with requisite application money or renounce the REs before the issue closes. REs which are neither subscribed by making an application with requisite application money nor renounced, on or before the Issue Closing Date shall lapse and shall be extinguished after the Issue Closing Date.

* These trades indicates square-off by UPSTOX SECURITIES PRIVATE LIMITED

Transactions mentioned in this contract note cum bill shall be governed and subject to the Rules, Bye-laws, Regulations and Circulars of the respective Exchanges on which trades have been executed and Securities and Exchange Board of India issued from time to time. It shall also be subject to the relevant Acts, Rules, Regulations, Directives, Notifications, Guidelines (including GST Laws) & Circulars issued by SEBI / Government of India / State Governments and Union Territory Governments issued from time to time. The Exchanges provide Complaint Resolution, Arbitration and Appellate arbitration facilities at the Regional Arbitration Centres (RAC). The client may approach its nearest centre, details of which are available on respective Exchange's website. Please visit www.nseindia.com for NSE, www.bseindia.com for BSE and www.msei.in for MSEI.



Place : Mumbai

Date : 05/04/2024

DIRECTOR/AUTHORISED SIGNATORY
PAN: AAGCE3230K
GSTIN of Trading Member : 27AAGCE3230K1ZP
Description of Service : STOCK BROKER
Accounting code of services : 997152

From 8 March 2024, our Broking and DP operations were transitioned from RKSV Securities India Pvt. Ltd. to Upstox Securities Pvt. Ltd.