

**DIRECTORS / AUTHORISED SIGNATORY :**

RAVI KUMAR / AMIT LALAN / KAPIL KOTHARI



**Compliance Officer :** Mr. Kapil Jaikalyani  
**Email Id:** compliance@upstox.com  
**Tel No.:** 022-61309999

**CONTRACT NOTE CUM TAX INVOICE**  
 Tax Invoice Under Section 31 of GST Act (Original for recipient/ Duplicate for supplier)

**UPSTOX SECURITIES PRIVATE LIMITED**

(Formerly EPX Uptech Private Limited)

Dealing Office: 30th Floor, Sunshine Tower,  
 Senapati Bapat Marg, Dadar (W), Mumbai 400013  
 Tel: 61309999 Website: upstox.com Investor Grievance - complaints@upstox.com  
 SEBI REG:INZ000315837 CIN:U65100DL2021PTC376860

Digitally signed by automated.bo@upstox.com  
 Date: Mon Apr 29 17:30:25 IST 2024  
 Reason: Regulatory  
 Location: Mumbai

BSE-EQ	BSE-CUR	NSE-EQ	NSE-F&O	NSE-CUR
SEBI REGN. NO.: INZ000315837 CLG. NO.: 6155	SEBI REGN. NO.: INZ000315837 CLG. NO.: 6155	SEBI REGN. NO.: INZ000315837 CLG. NO.: 13942	SEBI REGN. NO.: INZ000315837 CLG. NO.: 13942	SEBI REGN. NO.: INZ000315837 CLG. NO.: 13942

Contract Note No. : 33581206 Trade Date : 29/04/2024 Trading Code : FU1987 SOURAV DAS

<b>SOURAV DAS</b> NO1485 , PRAKRUTI NIVAS SRIRAM NAGAR , OLD TOWN BHUBANESHWAR URBAN BHUBANESWAR 751002, ORISSA - State Code (21) PAN OF CLIENT : AGRPD4429P GST Identification No : UCC OF CLIENT : FU1987		Resident	SETTLEMENT NO. SETTLEMENT DATE CLEARING HOUSE DELIVERY SETTLEMENT NO GST TAX INVOICE NO GST TAX INVOICE DATE	NSE-EQ NORMAL/2024080 30/04/2024 NCL NORMAL/2024080 NSE / 33581206 29/04/2024
		NSE-EQ		
Trading Back-office Code (if different from UCC)		FU1987		

Sir/ Madam,  
 I/We have this day done by your order and on your account the following transactions :

Order No.	Order Time	Trade No.	Trade Time	Security / Contract Description	Buy Sell (B/S)	Quantity	Gross Rate /Trade Price per Unit (Rs.)	Brokerage per Unit (Rs.)	Net Rate per Unit (Rs.)	Closing Rate per Unit (only for Derivatives) (Rs.)	Net Total (Before Levies) (Rs.)	Remarks
<b>EQ-CASH</b>												
1100000031475986	12:54:28	26053574	12:54:28	IFCI LTD [INE039A01010]	B	1	46.4000	0.0000	46.4000		46.40	NSE
1100000031488016	12:54:36	26055484	12:54:36	IFCI LTD [INE039A01010]	B	1	46.3500	0.0000	46.3500		46.35	NSE
1100000031500376	12:54:46	26057540	12:54:46	IFCI LTD [INE039A01010]	B	1	46.3500	0.0000	46.3500		46.35	NSE
						<b>3</b>					<b>139.10</b>	
1100000031545221	12:55:20	26065073	12:55:20	INDIAN OVERS [INE565A01014]	B	2	67.4000	0.0000	67.4000		134.80	NSE
1100000031560302	12:55:31	26067827	12:55:31	INDIAN OVERS [INE565A01014]	B	1	67.4000	0.0000	67.4000		67.40	NSE
1100000031581122	12:55:48	26071774	12:55:48	INDIAN OVERS [INE565A01014]	B	2	67.4000	0.0000	67.4000		134.80	NSE
1100000031768402	12:57:59	26109110	12:57:59	INDIAN OVERS [INE565A01014]	B	8	67.3500	0.0000	67.3500		538.80	NSE
						<b>13</b>					<b>875.80</b>	
0		0		Brokerage Charges	B	1	0.0000	0.0100	0.0100		0.01	
						<b>1</b>					<b>0.01</b>	
<b>(+) PAY IN/ (-) PAY OUT OBLIGATION</b>											<b>1014.91</b>	

	EQ-CASH	Total
PAY IN / ( PAY OUT ) OBLIGATION	1014.91	1014.91
[IGST 18% On Charges]	0.01	0.01
[STT-DEL.]	1.02	1.02
[STT-RND]	-0.02	-0.02
[TURNOVER CHG*]	0.03	0.03
Net Amount (-) receivable by client / (+) payable by Client	1015.95	1015.95

**GST Taxable Value of Supply:- Brokerage: Rs. 0.01 , [TURNOVER CHG\*] :Rs. 0.03 = Total: Rs. 0.04**

**CGST:-Central GST; SGST: - State GST; IGST:-Integrated GST; UTT: - Union Territory Tax. Details of trade-wise levies shall be provided on request.**

Yours faithfully,

**For UPSTOX SECURITIES PRIVATE LIMITED**

**Disclaimer:** The purchase of REs only gives them the right to participate in the ongoing Rights Issue of the concerned company by making an application with requisite application money or renounce the REs before the issue closes. REs which are neither subscribed by making an application with requisite application money nor renounced, on or before the Issue Closing Date shall lapse and shall be extinguished after the Issue Closing Date.

\* These trades indicates square-off by UPSTOX SECURITIES PRIVATE LIMITED

Transactions mentioned in this contract note cum bill shall be governed and subject to the Rules, Bye-laws, Regulations and Circulars of the respective Exchanges on which trades have been executed and Securities and Exchange Board of India issued from time to time. It shall also be subject to the relevant Acts, Rules, Regulations, Directives, Notifications, Guidelines (including GST Laws) & Circulars issued by SEBI / Government of India / State Governments and Union Territory Governments issued from time to time. The Exchanges provide Complaint Resolution, Arbitration and Appellate arbitration facilities at the Regional Arbitration Centres (RAC). The client may approach its nearest centre, details of which are available on respective Exchange's website. Please visit [www.nseindia.com](http://www.nseindia.com) for NSE, [www.bseindia.com](http://www.bseindia.com) for BSE and [www.msei.in](http://www.msei.in) for MSEI.



Place : Mumbai

Date : 29/04/2024

**DIRECTOR/AUTHORISED SIGNATORY**  
PAN: AAGCE3230K  
**GSTIN of Trading Member** : 27AAGCE3230K1ZP  
**Description of Service** : STOCK BROKER  
**Accounting code of services** : 997152

From 8 March 2024, our Broking and DP operations were transitioned from RKS V Securities India Pvt. Ltd. to Upstox Securities Pvt. Ltd.